

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
SDAC-P01-072

In Re Application Of: Clapp et al.

Serial No.
09/834,325Filing Date
April 13, 2001Examiner
Not Yet AssignedGroup Art Unit
2853

Title: Modular Video Conferencing System

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Title: Modular Video Conferencing System

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 18-1945 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on _____ (Date)

Signature

Typed or Printed Name of Person Signing Certificate

*This certificate may only be used if paying by deposit account.

Certificate of Mailing by First Class Mail


I certify that this document and fee is being deposited on 6/25/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Karen DiRocco

Typed or Printed Name of Person Mailing Correspondence

Dated: June 25, 2001


Robert A. Mazzares, Registration No. 42,852
Patent Group
Ropes & Gray
One International Place
Boston, Massachusetts 02110

cc:

CERTIFICATE OF MAILING
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria VA, 22313-1450, on the date below:

9-15-03

Date

Signature

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Craig S.K Clapp et al.

Assignee: Polycom, Inc.

Serial No.: 09/834,325

Filed: 4/13/01

For: Modular Video Conferencing System

§ Group Art Unit: 2643
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§ Examiner: George Eng
§
§ Examiner phone: 703-308-9555
§
§ Atty. Dkt. No.: 199-0044US
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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria VA, 22313-1450.

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

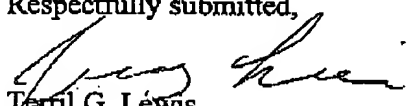
In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b), or that such information constitutes prior art.

The present Information Disclosure Statement is being filed after receipt of a first office action on the merits. Hence a fee of \$180.00 is believed to be due pursuant to 37 C.F.R. § 1.97(c). The Commissioner is authorized to deduct this and any other necessary fees from Deposit Account No. 501922.

Applicant respectfully requests that the listed documents be considered and made of record in the present case, and that the Examiner initial the appropriate spaces on the Form 1449 to evidence the same.

Respectfully submitted,


Terril G. Lewis
Reg. No. 46,065
Attorney for Applicant

WONG CABELLO, LLP
20333 SH 249, Suite 600
Houston, Texas 77070
(832) 446-2422

Date:

9-11-03

| INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | | | | Docket Number (Optional) SDAC-P01-07 | | Application Number 09/834,325 | | |
|--|-----|-----------------|----------|--|-------|---|-------------------------------|----|
| | | | | Applicant(s) Clapp et al. | | | | |
| | | | | Filing Date April 13, 2001 | | Group Art Unit 2853 | | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
| EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
| | AA | 5,130,891 | 07/14/92 | Christie | 361 | 391 | 01/02/91 | |
| | AB | 5,187,645 | 02/16/93 | Spalding et al. | 361 | 393 | 06/07/91 | |
| | AC | 5,265,238 | 11/23/93 | Canova, Jr. et al. | 395 | 500 | 01/25/93 | |
| | AD | 5,625,829 | 04/29/97 | Gephardt et al. | 395 | 800 | 07/18/94 | |
| | AE | 5,835,732 | 11/10/98 | Kikinis et al. | 395 | 281 | 10/28/93 | |
| | AF | 6,024,582 | 02/15/00 | Wu | 439 | 74 | 08/12/99 | |
| | AG | 5,991,839 | 11/23/99 | Ninomiya | 710 | 101 | 09/20/96 | |
| | AH | 6,029,215 | 02/22/00 | Watts, Jr. et al. | 710 | 101 | 03/07/97 | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
| | | | | | | | YES | NO |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
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| | | | | | | | | |
| EXAMINER | | | | DATE CONSIDERED | | | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | |

Page 1 of 1

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|---|---|--------------------------|
| Form PTO-1449 (modified) | Atty. Docket No. 199-0044US | Serial No. 09/834,325 |
| List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | Inventor/Applicant Craig S.K Clapp et al. / Polycorn | |
| | Title: Modular Video Conferencing System | |
| | Filing Date: 4/13/01 | Group: 2643 |
| U.S. Patent Documents <i>See Page 1</i> | Foreign Patent Documents <i>N/A</i> | Other Art <i>N/A</i> |

U.S. Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date | Name | Class | Sub Class | Filing Date of App. |
|-------------|-----------|-----------------|-----------|--------|-------|-----------|---------------------|
| | A1 | 5,206,721 | 4/27/1993 | Ashida | 358 | 85 | 3/8/1990 |
| | A2 | | | | | | |
| | A3 | | | | | | |
| | A4 | | | | | | |
| | A5 | | | | | | |
| | A6 | | | | | | |
| | A7 | | | | | | |

Foreign Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date | Country | Class | Sub Class | Translation Yes/No |
|-------------|-----------|-----------------|------|---------|-------|-----------|--------------------|
| | B1 | | | | | | |
| | B2 | | | | | | |

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

| Exam. Init. | Ref. Des. | Citation |
|-------------|-----------|----------|
| | C1 | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

H: 531561(B45L011.DOC)